UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

CARLA CAIN,

Plaintiff, : CIVIL ACTION

V. :

: No. 2:22-cv-360-CFK

CINDY BASS

and

CITY OF PHILADELPHIA,

Defendants.

<u>DEFENDANT CITY OF PHILADELPHIA'S ANSWER</u> TO THE AMENDED COMPLAINT WITH AFFIRMATIVE DEFENSES

Defendant the City of Philadelphia (the "City"), by and through undersigned counsel, hereby submits this Answer and Affirmative Defenses to Ms. Cain's Amended Complaint.

I. Parties¹

- 1. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
 - 2. Admitted.
 - 3. Admitted.

II. Jurisdiction and Venue

3. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the

¹ For ease of reference, Defendant City utilizes the same headings as Plaintiff's Amended Complaint. The headings are not intended to indicate admission or denial of any particular fact.

allegations in this paragraph.²

- 4. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 5. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.

III. Facts

- 6. Admitted in part, denied in part. It is admitted only that Plaintiff is an elected committeeperson for the 22nd Ward Democratic Committee in the 25th Division. The City lacks knowledge or information sufficient to form a belief about the truth of the remaining allegations in this Paragraph, and therefore denies them.
 - 7. Admitted.
- 8. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 9. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.

A. The Role of Registered Community Organizations in Philadelphia Municipal Government

10. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.

² Plaintiff's Amended Complaint contains two paragraphs numbered "3." Thus, so does this Answer with Affirmative Defenses.

- 11. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 12. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 13. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 14. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 15. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
 - 16. Denied as stated.
 - 17. Admitted.
- 18. Admitted. More specifically, the annual registration period for RCOs opened on June 1, 2022, so this number may change. The Philadelphia City Planning Commission (PCPC) maintains a list of RCOs on its website, https://www.phila.gov/documents/registered-community-organization-rco-materials/.
- 19. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the

allegations in this paragraph.

- 20. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 21. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 22. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 23. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 24. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 25. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.

B. Councilmanic Prerogative and Its Role in City Politics

- 26. Denied.
- 27. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.

- 28. Denied.
- 29. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 30. The allegations in this paragraph are overly broad, imprecise, vague, ambiguous, and legally irrelevant, and the City therefore denies them.
- 31. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
- 32. The allegations in this paragraph are overly broad, imprecise, vague, ambiguous and legally irrelevant, and the City therefore denies them.
 - C. Cain Establishes the 22nd Ward Democratic Committee RCO and Serves as its Leader, but is Ousted at Bass's Unilateral Direction
- 33. Denied as stated. By way of further response, an RCO is not "established," it is merely a status conferred on already existing organization that meets the requirements of the Philadelphia Code and the PCPC regulations. It is admitted that the City received an application for the 22nd Ward Democratic Committee to be registered as an RCO in June 2019 purportedly submitted by Ms. Cain.
- 34. Denied in part admitted in part. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph regarding a meeting and Plaintiff's alleged election and appointment at such meeting and therefore denies the allegations. It is only admitted that Ms. Cain was listed as the primary contact on the 22nd Ward Democratic Committee RCO application that was submitted to the City.
 - 35. Denied as stated. It is only admitted that the primary contact person for the 22nd

Ward Democratic Committee RCO has been changed one time at the request of the Committee in the fall of 2021. The remaining allegations of this paragraph are denied.

- 36. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph. By way of further response, the PCPC Regulation provides, in relevant part: "This request shall be submitted or verified by the primary contact person as listed on the RCO's current registration unless the primary contact is unavailable due to death, medical condition, or other exceptional circumstances." PCPC Regulations § 12.3.4 (2019).
- 37. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 38. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 39. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. To the extent that this allegation characterizes a written document, the contents of exhibit A to the Amended Complaint speak for themselves and Plaintiff's characterizations are denied.
- 40. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. To the extent that this allegation characterizes a written document, the contents of exhibit B to the Amended Complaint speak for themselves and Plaintiff's characterizations are denied.
- 41. Admitted in part and denied in part. It is admitted that Christine Foster and Dominic Mathis were identified as contacts for the 22nd Ward Democratic Committee. The City lacks knowledge or information sufficient to form a belief about the truth of the remaining

allegations in this Paragraph, and therefore denies them.

- 42. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. To the extent that Plaintiff alleges that she was "the sole person with legal authority" to change the 22nd Ward Democratic Committee RCO's registration information, that allegation constitutes a conclusion of law to which no response is required. To the extent a response is deemed to be required, the City denies that allegation.
- 43. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 44. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. By way of further response, Ms. Cain remained the primary contact for the 22nd Ward Democratic Committee RCO until fall 2021.
- 45. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 46. Denied as stated. It is admitted that Ms. Cain submitted an application to renew the 22nd Ward Democratic Committee RCO on June 3, 2021 and that the application was accepted.
- 47. Denied as stated. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. By way of further response, it is admitted that the City informed Ms. Cain by email that the primary contact for the 22nd Ward Democratic Committee RCO had been changed in fall of 2021.
 - 48. Denied as stated. To the extent that this allegation characterizes a written

document, the contents of exhibit D to the Amended Complaint speak for themselves and Plaintiff's characterizations are denied. By way of further response, it is admitted that the City considered the letter from Ward Leader Bass to indicate the organization's desired primary contact person for the City's RCO program.

- 49. Denied.
- 50. Denied as stated. By way of further response, the City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 51. Denied. By way of further response, Plaintiff could attend any public meeting she desired and make her opinion on development projects known. In addition, Plaintiff has never been prevented from registering an RCO for any organization that she controls or directs or for which she has been authorized to do so. Nor has Plaintiff been prevented from engaging in the political process by petitioning her representatives in City government or running to become a public or party official herself, as she has in the 22nd Ward Democratic Committee. Finally, Plaintiff is not prevented from contacting developers directly.
 - 52. Denied.
- 53. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. By way of further response, it is admitted that the City informed Ms. Cain that the change had been made at the request of the 22nd Ward Democratic Committee.
- 54. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. By way of further response, it is admitted only that the primary contact for the 22nd Ward Democratic Committee RCO was

changed in fall 2021.

- 55. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. To the extent that this allegation characterizes a written document, the contents of exhibit E speak for themselves.
- 56. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. To the extent that this allegation characterizes a written document, the contents of exhibit E speak for themselves and Plaintiff's characterizations are denied. By way of further response, the City states that Plaintiff mischaracterizes both of these statements. First, ward committees are treated differently from other organizations in the RCO process by the Philadelphia Code and Planning Commission regulations given their political nature. Second, the statements are not contradictory—a Ward Leader's authorization was, at one point, required to register a party Ward Committee but Ward Leader authorization is not and has never been required in order to register any other community organization.
- 57. Denied. By way of further response, Defendant City lacks the authority to appoint Plaintiff to any position within a private, political organization.
 - 58. Denied.
- 59. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them. By way of further response, the City has not prevented Ms. Cain from "participating in any development projects" within the City's 22nd Ward. In fact, registration is currently open and Ms. Cain may apply for an organization to be recognized as a non-ward RCO, so long has her organization meets the requirements of the Philadelphia Code and PCPC Regulations.

- 60. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
- 61. The City lacks knowledge or information sufficient to form a belief about the truth of the allegations in this Paragraph, and therefore denies them.
 - 62. Denied.

COUNT I

(Against Defendant Cindy Bass)

Unlawful Retaliation for Cains Exercise of Her First Amendment Right to Free Speech U.S. Const. amend. I; 42 U.S.C. § 1983

- 63. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 64. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 65. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 66. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 67. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 68. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 69. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 70. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.

WHEREFORE clause:

This paragraph is a "wherefore" clause to which no response is required.

COUNT II

(Against Defendant Cindy Bass)

Unlawful Retaliation in Violation of Cain's First Amendment Right to Political Association U.S. Const. amend. I; 42 U.S.C. § 1983

- 71. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 72. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.
- 73. This claim pertains to another defendant and requires no response from Defendant City. To the extent that a response is required, this allegation is denied.

WHEREFORE clause:

This paragraph is a "wherefore" clause to which no response is required.

COUNT III

(Against Defendant City)

Reinstatement to Official Position Following Retaliatory Removal U.S. Const. amends. I, XIV; 42 U.S.C. § 1983

- 74. The allegations in this paragraph constitute conclusions of law to which no response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph.
 - 75. Denied.
 - 76. Denied.
 - 77. Denied.
 - 78. Denied.
 - 79. The allegations in this paragraph constitute conclusions of law to which no

response is required. To the extent a response is deemed to be required, the City denies the allegations in this paragraph. By way of further response, Defendant City has no authority or responsibility to dictate how a private organization such as the 22nd Ward Democratic Committee chooses its primary contact person to interact with a City program and to do so would likely implicate First Amendment concerns.

WHEREFORE clause:

This paragraph is a "wherefore" clause to which no response is required. By way of further response, Defendant City has no authority to require a private, political organization such as the 22nd Ward Democratic Committee to take any action or place any individual in any particular position.

AFFIRMATIVE DEFENSES

<u>First Affirmative Defense</u>: Plaintiff fails to state a claim upon which relief may be granted.

Second Affirmative Defense: Plaintiff fails to allege state action on the part of Defendant City.

<u>Third Affirmative Defense</u>: Defendant City lacks the authority to determine how a private organization such as the 22nd Ward Democratic Committee selects its primary contact person for a City program.

<u>Fourth Affirmative Defense</u>: Plaintiff lacked authority to register the Committee as an RCO.

<u>Fifth Affirmative Defense</u>: As Ward Leader, Defendant Bass had authority to speak for the private organization 22nd Ward Democratic Committee and select its primary contact for the RCO program.

<u>Sixth Affirmative Defense</u>: The "22nd Ward Democratic Committee RCO" does not exist as a separate or independent legal entity from the 22nd Ward Democratic Committee. Instead, the registration process recognizes organizations, like the Ward Committee, a non-profit, or a neighborhood association, as community organizations.

<u>Seventh Affirmative Defense</u>: The position of "primary contact person" of an RCO is not an official government position with accompanying powers, authority, or duties.

WHEREFORE, Defendant City demands that Plaintiff Cain's claims be denied with prejudice and that it be awarded its costs and reasonable attorneys' fees.

Date: June 10, 2022 Respectfully submitted,

/s/ Ryan B. Smith

Benjamin Field, Chief Deputy City Solicitor Ryan B. Smith, Assistant City Solicitor Pa. Attorney ID No. 324643 City of Philadelphia Law Department 1515 Arch Street, 15th Floor Philadelphia, PA 19102 Ryan.Smith@phila.gov (215) 683-2954

Counsel for Defendant City of Philadelphia

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: No. 2:22-cv-360-CFK

CINDY BASS

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CITY OF PHILADELPHIA,

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Defendants.

CERTIFICATE OF SERVICE

I, Ryan B. Smith, hereby certify that the foregoing document titled "Answer to the Amended Complaint with Affirmative Defenses" has been filed electronically and is available for viewing and downloading from the ECF system by all counsel of record pursuant to Local Rule 5.1.2(8)(b).

Date: June 10, 2022 Respectfully submitted,

/s/ Ryan B. Smith
Ryan B. Smith

Assistant City Solicitor